

FORM PTQ-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER  
CELL-0286

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (if known see 37 CFR 1.5)

**10/506388**

INTERNATIONAL APPLICATION NO.  
PCT/GB03/00926

INTERNATIONAL FILING DATE  
06 March 2003 (06.03.2003)

PRIORITY DATE CLAIMED  
06 March 2002 (06.03.2002)

TITLE OF INVENTION **PHthalimide Carboxylic Acid Derivatives**

APPLICANT(S) FOR DO/EO/US **Stephen Martin COURTNEY; Philip Andrew HAY and David Ian Carter SCOPES**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

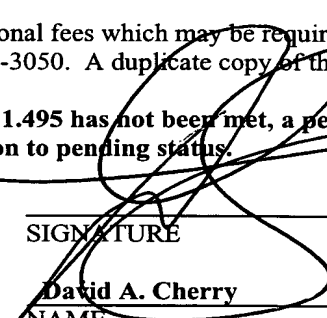
1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9), and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) 35 U.S.C. 371(c)(4). (**unexecuted**)
10. ☐ An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 20. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.182-1.825.
18. ☒ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
  - a copy of the **International Search Report**;
  - a copy of the document filed with the International Bureau under Rule 92bis PCT requesting David Ian Carter SCOPES be recorded as "applicant for US only".
  - a return postcard.

**EL975571690US**

EXPRESS MAIL Mailing Label No. EL 975571690 US  
Date of Deposit: 02 September 2004

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2f. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS      PTO USE ONLY	
<b>Basic National Fee (37 CFR 1.492(a)(1) - (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO <span style="float: right;"><b>\$1,080.00</b></span>  International preliminary examination fee (37 CFR 1.482 not paid to USPTO but International Search Report has been prepared by the EPO or JPO <span style="float: right;"><b>\$920.00</b></span>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO <span style="float: right;"><b>\$770.00</b></span>  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) <span style="float: right;"><b>\$730.00</b></span>  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) <span style="float: right;"><b>\$100.00</b></span>					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>\$920.00</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate		
Total claims	- 20 =		X \$18.00	\$	
Independent Claims	- 3 =		x \$86.00	\$	
Multiple dependent claims(s) (if applicable)			+ \$290.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$920.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
<b>SUBTOTAL =</b>				<b>\$920.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				<b>\$920.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$920.00</b>	
				Amount to be: \$	
				refunded	
				charged \$	
a. <input checked="" type="checkbox"/> A check in the amount of \$920.00 to cover the above fee is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. 23-3050 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required **except additional claims fee**, or credit any overpayment to Deposit Account No. 23-3050. A duplicate copy of this sheet is enclosed.					
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
David A. Cherry Woodcock Washburn LLP One Liberty Place - 46th Floor Philadelphia, PA 19103 (215) 568-3100				SIGNATURE  David A. Cherry NAME	
				35,099	
				REGISTRATION NUMBER	



CELLTECH

10/506388

DT18 Rec'd PCT/PTO 02 SEP 2004

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20  
Switzerland

Our ref.: P0143-WO01

26 August 2004

Dear Sirs,

**International Patent Application No. PCT/GB03/00926**  
**Oxford GlycoSciences (UK) Limited**

Under the provisions of Rule 92*bis* PCT, I hereby request that the following change be recorded:

**SCOPES, David, Ian, Carter should be recorded as applicant for  
US only, and NOT as applicant for all designated States except  
US as indicated in the Request.**

Copies of General Authorizations enabling me to act on behalf of the applicant are enclosed herewith.

Yours faithfully,

**Dr. John Thompson**  
**Authorized Representative**

Celltech R&D Limited 208 Bath Road Slough Berkshire SL1 3WE United Kingdom  
Tel: +44 (0)1753 534655 Fax: +44 (0)1753 536632 [www.celltechgroup.com](http://www.celltechgroup.com)

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